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5 METHOD AND APPARATUS FOR EXAMINING FLUIDS OF BIOLOGICAL ORIGINa BACKGROUND

A1 The present invention relates to a method for examining a fluid according to the preamble of claim 1. It relates further to devices for executing the method.

- 10 Clinical laboratory tests are routinely performed on the serum or plasma of whole blood. The tests commonly employ a series of reactions which terminate after the generation of chromophores which facilitate detection by spectroscopic measurements. The accuracy of most spectroscopic tests is
- 15 affected to some extent by in vitro interferences. In vitro interferences arise from the fact that biochemical analysis are performed in the complex matrices that make up biological fluids, e.g. serum, plasma or urine. These fluids contain numerous compounds that either have chemical groups
- 20 that can react with the test reagents or can have the physical or spectral properties of the target analyte. Further, the chemical composition of body fluids can vary with the nature and the extent of disease processes. In vitro interferences can be classified into two classes:
- 25 spectral and chemical interference. The most commonly observed interferences are hemolysis, ictericia, and lipemia. Some 30 % of samples obtained from clinic or hospitalized patients are hemolyzed, icteric, or lipemic. Main reasons for hemolysis are unskilled blood taking or sample
- 30 preparation, for ictericia the jaunice disease, and for lipemia fat nutrition before blood taking.

The goal of sample quality monitoring is the determination of the interfering substances hemoglobin, bilirubin, and lipid prior to conducting fully automated clinical

35 laboratory tests in order to provide meaningful and accurate test results. If a sample is sufficiently contaminated with

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5 interference substances, the test may either not be
conducted or the test result may be flagged to indicate that
it is not reliable. Particularly, such a test is desirable
in connection with the use of clinical-chemical analyzers
which perform most of the analysis of a sample fully
10 automatically and without taking into account any particular
characteristics or properties of individual blood samples.

U.S. Patent Specification US-4,263,512 describes a known
method and device for semi-quantitative sample quality
monitoring of hemoglobin and bilirubin using multiple
15 wavelength measurements on diluted serum samples. This
method has the following disadvantages:

- it does not provide a quantitative determination of
any interfering substances contained in the sample, and
- it requires a special and specific conditioning of
20 the sample. Alternative methods are chromatographic or
clinical-chemical determination of the concentrations of
interfering substances. The chromatographic measurement
requires a long measurement time and delicate
instrumentation, whereas the clinical-chemical determination
25 is not suitable for reagentless measurement.

Therefore, it is an aim of the present invention to provide
a method for estimating rapidly the concentration of at
least one interfering component in a fluid biological sample
to be analyzed by an in vitro diagnostic test.

30 The above aim is attained with a method according to the
invention as defined by claim 1. The remaining claims define
preferred embodiments and applications thereof and means for
carrying out the method.

In a preferred method according to the invention, the
35 combination or superposition of the extinction spectrum of

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5 this first one of the components in a pure state and a function approximating the background extinction is fitted to the measured spectrum of the fluid to be analyzed in a wavelength range, where the component to be determined shows a significant or characteristic shape of its extinction
10 curve. The function approximating the background extinction may e.g. be a straight line, and in this case, the wavelength range is preferably chosen where the expected background extinction spectrum is similar to a straight line.

15 The invention shall be further explained in referring to exemplary embodiments with reference to the Figure:

Fig. 1: Schematic representation of a photometric spectrum measurement arrangement.

20 Fig. 2: Extinction spectra of pure interfering substances and a standard blood serum.

Fig. 3: Normalized extinction spectra of real whole blood sera, bilirubin and hemoglobin contribution being subtracted, and a reference lipid solution sample.

25 Fig. 4: Evaluation method for sample quality monitoring.

Fig. 5: Experimentally measured extinction spectrum of a real whole blood serum and results of the evaluation method.

30 Fig. 6: Measured extinction spectrum of a strongly hemolytic whole blood sample and respective extinction spectra obtained by the examination method.

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5 Fig. 7: As Fig. 6 for a strongly icteric whole blood sample.

Figs. 8, 9: Optically determined hemoglobin (Fig. 8) and bilirubin (Fig. 9) concentrations versus added concentrations for 125 independent test samples.

Figs. 10,11: Optically measured hemoglobin respectively bilirubin concentration values vs. clinical-chemically measured concentration values for independent real whole blood sera.

15 Figs. 12-15: Optically measured hemoglobin and bilirubin concentrations of 92 real whole blood sera and the respective CV values obtained using a state-of-the-art spectrometer.

Fig. 16, 17: Low-cost versus state-of-the-art spectroscopically measured (Fig. 16) hemoglobin and bilirubin (Fig. 17) concentrations of 92 real whole blood sera.

Fig. 18: Schematic illustration of a dip probe.

A method according to the invention for sample quality monitoring of blood serum or plasma by optical absorption spectroscopy in the visible and near IR range is described hereinafter. The target measuring ranges are 0.1 - 10 g/l hemoglobin, 2 - 20 mg/dl (1 dl = 0.1 liter) bilirubin and 100 - 2000 mg/dl lipid with a measurement accuracy of 20 %.

30 The evaluation performed by the method according to the present invention yields e.g. the content of the hemoglobin and bilirubin. A quantitative determination of the lipid concentration by optical absorption spectroscopy is not possible due to a lack of a reproducible relation between

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5 light-scattering and lipid concentration. Therefore, a differential extinction spectrum is obtained by subtracting the hemoglobin and bilirubin contributions from the extinction spectrum of the target sample. It contains the spectral contributions of the lipid and the matrix, e.g. the blood serum or plasma, which can then be investigated for spectral anomalies. The method has been experimentally tested using a series of 125 synthetic test samples and a series of 92 real blood sera. Accuracy and reproducibility of the technique versus the performance of the spectroscopic measurement device are described and commented hereinafter.

A basic setup for optical absorption spectroscopy for sample quality monitoring is shown in Fig. 1. A light beam 1 emitted by a multiple optical wavelength light source 2 is collimated by a lens 3, which directs light of spectral intensity $I_0(\lambda)$ to a target sample 6. The optical path within the target sample is denoted by d . A lens 4 collects transmitted light of intensity $I(\lambda)$, which is then detected by a spectral wavelength analyzer which has an input 5.

Optical absorption is commonly characterized by the extinction $E(\lambda)$, which is defined as

$$\frac{I(\lambda)}{I_0(\lambda)} = 10^{-E(\lambda)} \Rightarrow \log \frac{I(\lambda)}{I_0(\lambda)} = -E(\lambda) \quad (1)$$

In the presence of J interfering substances in the target sample, e.g. hemoglobin, bilirubin and lipid (i. e., $J=3$), the extinction $E(\lambda)$ of the target sample can be described by the linear combination

$$\bar{E}(\lambda) = \sum_{j=1}^J K_j(\lambda) \frac{d}{q_{di}} C_j + E_s(\lambda) \quad (2)$$

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5 where K_j and C_j are the specific extinction coefficient and the concentration of interfering substance j ($j = 1, 2, \dots, J$), respectively. The dilution of the target sample is denoted by q_{dil} , i. e. (original concentrations):(sample concentrations) = $(1:q_{dil})$. E_g is the extinction characteristic of the matrix, e.g. blood serum or plasma. In Figure 2 the extinction coefficients K_H of hemoglobin, K_B of bilirubin, and K_L of lipid (Intralipid 20% [Pharmacia, Sweden]) in the visible and near IR range are represented by lines 10, 11 and 12 respectively. The extinction spectrum E_g of a standard blood serum (Control Serum N (human) [Hoffmann-La Roche, Switzerland]) is represented in Fig. 2 by dashed line 13.

Within the scope of sample quality monitoring, a minimum number $N_{min} = 4$ of statistically independent extinction values $E(\lambda_n)$ ($n = 1, \dots, 4$) should allow the determination of the four unknown parameters in Eq. (2), i.e. the concentrations of the interference substances hemoglobin (C_H), bilirubin (C_B) and lipid (C_L), and the extinction component (E_g) corresponding to the matrix part. More reproducible results are expected by least squares fitting the mathematical model of the extinction spectrum defined by Equation (2) to $N > N_{min}$ measured values $E(\lambda_n)$ ($n = 1, 2, \dots, N$) in order to obtain best estimates of the values of C_H , C_B and C_L .

30 However, it is observed that the specific extinction coefficient $K_L(\lambda)$ of lipid is not reproducible in real blood sera, and this is mainly due to the statistical distribution of the size of the scattering centers in the lipid. Moreover, as shown by Fig. 2, the monotonically decreasing extinction spectrum of lipid versus wavelength lacks typical (local) characteristics. Therefore, the latter spectrum

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5 cannot be distinguished from the component (E_g) of the extinction spectrum which corresponds to the matrix.

Figure 3 shows extinction spectra 31 of real whole blood sera, from which the hemoglobin and bilirubin contributions have been subtracted. These differential spectra 31
10 therefore represent the sum of the spectral contributions of the lipid and the matrix. The shown extinction spectra are normalized to the extinction at $\lambda = 700$ nm. The solid line 30 represents the extinction spectrum of the reference solution of Intralipid, the broken lines 31 represent the
15 extinction spectra of several samples of real whole blood sera after subtraction of the extinction contributions of hemoglobin and bilirubin.

Hence quantitative determination of the concentrations of hemoglobin (C_H), bilirubin (C_B) and lipid (C_L) does not
20 appear to be possible by measuring the optical extinction spectrum of the target sample and fitting the model in Equation (2) to the measured values $E(\lambda_n)$.

Therefore, according to the invention, a sequential determination of first the hemoglobin (C_H) and then the
25 bilirubin (C_B) concentration is proposed in order to obtain a differential spectrum E_{diff} by subtracting the hemoglobin and bilirubin contributions from the measured extinction spectrum $E(\lambda)$. The differential spectrum E_{diff} thus
represents the sum of the contributions of the lipid (E_L)
30 and the matrix (E_g) to the extinction spectrum $E(\lambda)$ of the target sample, and may additionally be investigated for spectral anomalies over the whole spectral range.

The bloc diagram in Fig. 4 summarizes an example of a proposed measurement and evaluation method according to the
35 invention for sample quality monitoring.

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- 5 This method is based on approximating the differential spectrum $E_{\text{diff}} = E_g + E_L$ in a limited wavelength range λ_r by a straight line.

In a first step 32, the hemoglobin concentration is determined from a first measured extinction spectrum $E_1(\lambda)$ in a wavelength range $\lambda_{rh} \equiv [545, 575]$ nanometer. A set of extinction values comprising those of spectrum $E_1(\lambda)$ is represented by block 35. As shown by Fig. 2, within the wavelength range $\lambda_{rh} \equiv [545, 575]$ nanometer hemoglobin has typical spectral characteristics and the bilirubin contribution to the extinction spectrum is quasi negligible (Fig. 2).

In a curve fitting step 37, the mathematical model of the extinction spectrum defined by Equations (3) and (4) hereinafter is fitted by a linear least squares algorithm to N_1 spectroscopically measured values $E_1(\lambda_n)$ ($n = 1, 2, \dots, N_1$) in the range λ_{rh} .

In curve fitting step 37, the extinction spectrum $E_1(\lambda_n)$ is approximated by

$$\bar{E}_1(\lambda) = E_{d1}(\lambda) + E_H(\lambda) \quad (3)$$

25 where $E_H(\lambda)$ is the hemoglobin contribution and is defined by

$$E_H(\lambda) = K_H(\lambda) \frac{d}{q_{dil}} C_H \quad (4)$$

and E_{d1} linearly approximates the sum of the lipid and the matrix contributions, and E_{d1} is a function defined by

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- 5 $E_{d1} = a_{0h} + a_{1h}\lambda$. Note that the parameters a_{0h} and a_{1h} have no physical significance.

Fitting step 37 delivers the best estimate of the values of the hemoglobin concentration C_H and a_{0h} , a_{1h} . This set of values is represented by block 38.

- 10 In a second step 33, the bilirubin concentration is determined from a second measured extinction spectrum $E_2(\lambda_n)$ in the wavelength range $\lambda_{rb} \cong [480, 545]$ nanometer. A set of extinction values comprising those of spectrum $E_2(\lambda)$ is also represented by block 35.
- 15 In a curve fitting step 41, the mathematical model of the extinction spectrum defined by Equations (5) and (6) hereinafter is fitted by a linear least squares algorithm to N_2 spectroscopically measured values $E_2(\lambda_n)$ ($n = 1, 2, \dots, N_2$) in the wavelength range λ_{rb}
- 20 In curve fitting step 41, the extinction spectrum $E_2(\lambda_n)$ is approximated by

$$\bar{E}_2(\lambda) = E_H(\lambda) + E_{d2}(\lambda) + E_B(\lambda) \quad (5)$$

where

$$E_B(\lambda) = K_B(\lambda) \frac{d}{q_{d1}} C_B \quad (6)$$

- 25 is the bilirubin contribution, E_H is the previously determined hemoglobin contribution, and E_{d2} linearly approximates the sum of the lipid and the matrix contributions and E_{d2} is a function defined in particular by $E_{d2} = a_{0b} + a_{1b}\lambda$, and in general by $E_{dk}(\lambda, a_{i,sk})$ with i

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- 5 ranging from 0 to at least 1. Note that the parameters a_{0b} and a_{1b} have no physical significance.

Fitting step 41 delivers the best estimate of the bilirubin concentration C_B and a_{0b} , a_{1b} . This set of values is represented by block 42.

- 10 In a further method step 34, using Equations (2), (4) and (6), the differential spectrum E_{diff} , is obtained by the equation

$$E_{diff}(\lambda) = E(\lambda) - E_H(\lambda) - E_B(\lambda), \quad (7)$$

- 15 The set of values of E_{diff} is represented by block 45. This set of values can then be investigated for spectral anomalies and lead to results represented by block 46 which can be in particular indicative of an anomalous lipid content of the target sample.

- 20 The method according to the invention can be used to determine the concentration of k pure substances contained in a target sample.

For that purpose, in more general terms the method according to the invention comprises:

- 25 (a) measuring a first extinction spectrum $E_1(\lambda)$ of a liquid sample in a first selected wavelength range $\lambda = \lambda_{1,1}$ to $\lambda_{1,n}$, and

(b) fitting an approximated spectrum $\bar{E}_1(\lambda)$ to said first measured extinction spectrum $E_1(\lambda)$, said approximated spectrum $\bar{E}_1(\lambda)$ being a combination of

- 30 a predetermined approximation function $E_{d1}(\lambda, a_{i,s1})$ for the background extinction, with i ranging from zero to at least one, and

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5 a predetermined extinction spectrum $E_{s1}(C_{s1}, \lambda)$ of a first pure component of concentration C_{s1} of the components to be determined,
 said fitting being performed by varying said concentration C_{s1} of said first interfering component and at least two of
 10 said coefficients $a_{i,s1}$, so that the deviation between said first measured extinction spectrum $E_1(\lambda)$ and said approximated spectrum $\bar{E}_1(\lambda)$ is minimized in order to determine the concentration of said first interfering component, and said first selected wavelength range being so
 15 selected that the concentration C_{s1} of said first interfering component can be determined unambiguously.

In a preferred embodiment the approximated spectrum $\bar{E}_1(\lambda)$ is the sum of said predetermined approximation function $E_{d1}(\lambda, a_{i,s1})$ for the background extinction, and said
 20 predetermined extinction spectrum $E_{s1}(C_{s1}, \lambda)$ of said pure first component of concentration C_{s1} .

In order to determine the concentration of a k pure component of a target sample a method according to the invention further comprises
 25 (a) measuring at least one further extinction spectrum $E_k(\lambda)$ of said liquid sample in at least one further selected wavelength range $\lambda = \lambda_{k,1}$ to $\lambda_{k,n}$, with $k \geq 2$, and
 (b) fitting at least one further approximated spectrum $\bar{E}_k(\lambda)$ to said at least one further measured extinction
 30 spectrum $E_k(\lambda)$, said at least one further approximated spectrum $\bar{E}_k(\lambda)$ being a combination of a predetermined approximation function $E_{dk}(\lambda, a_{i,sk})$ for the background extinction, with i ranging from zero to at least one,

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- 5 previously determined extinction spectrums $E_{SL}(C_{SL}, \lambda)$, with L varying from $L=1$ to $k-1$, of $k-1$ pure components previously determined, and
- a predetermined extinction spectrum $E_{Sk}(C_{Sk}, \lambda)$ of a k pure component of concentration C_{Sk} to be determined,
- 10 said fitting being performed by varying the concentration C_{Sk} and at least two of the coefficients $a_{i,Sk}$ so that the deviation between measured spectrum and approximated spectrum is minimized, in order to determine the concentration of the second component, said at least one
- 15 further selected wavelength range being so selected that the concentration C_{Sk} of said k pure component can be determined unambiguously.

In a preferred embodiment the approximated spectrum $\bar{E}_k(\lambda)$ is the sum of said predetermined approximation function $E_{dk}(\lambda, a_{i,Sk})$ for the background extinction, said previously

20 determined extinction spectrums $E_{SL}(C_{SL}, \lambda)$, with L varying from $L=1$ to $k-1$, of $k-1$ pure components previously determined, and said predetermined extinction spectrum $E_{Sk}(C_{Sk}, \lambda)$ of said k pure component of concentration C_{Sk} .

25 Reproducibility

The reproducibility of the measured concentration C_H of hemoglobin and of the measured concentration C_B of bilirubin can be analytically calculated, if the minimum number $N_{min} = 3$ of measured extinction values $E(\lambda_n)$ in the range λ_{rh} are

30 used to determine C_H from Equation (3) by means of the above described fitting step 37, and if the minimum number $N_{min} = 3$ of measured extinction values $E(\lambda_n)$ in the range λ_{rb} are used to determine C_B from Equation (5) by means of the above described fitting step 41.

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5 The reproducibility of a measured concentration C is commonly characterized by the coefficient of variation $CV = \sigma_c / E\{c\}$, where σ_x and $E\{x\}$ stand for the standard deviation and the statistical expectation (mean value) of $\{x\}$, respectively. Using Equations (1), (2), and (7), it can be
 10 readily shown that the coefficient of variation CV of the concentration C_j is related to the reproducibility of the (physically) measured optical intensity σ_I/I_0 by the relation

$$CV|_{N_{\min}} = \frac{\sigma_{C_j}}{E\{C_j\}} \equiv \frac{1}{D} \frac{\sigma_I}{I_0} \frac{1}{C_j} 10^{K_j(\bar{\lambda}) \frac{d}{q_{d11}} C_j + E_d(\bar{\lambda})}, \quad (8)$$

where $\bar{\lambda}$ is the center wavelength of the respective
 15 measurement range λ_r ,

$$D = [\ln(10)/4] \cdot [2K_j(\lambda_2) - K_j(\lambda_1) - K_j(\lambda_3)] \cdot [d/q_{d11}],$$

and $\ln(x)$ is the natural logarithm of (x) .

In Fig. 4, the center wavelength $\bar{\lambda}$ is pointed out by reference number 47.

20 Note that the background contribution $E_d(\lambda)$ of the lipid and the matrix significantly reduce the reproducibility of the measured concentration C_j .

When $N > N_{\min}$ statistically independent measured values $E(\lambda_n)$ are used for the linear least squares algorithm, it can be
 25 shown that the coefficient of variation CV of the measured hemoglobin concentration, as well as the coefficient of variation CV of the measured bilirubin concentration, is related to Equation (8) by the relation

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$$5 \quad CV|_N = \frac{1}{\sqrt{M}} CV|_{N_{\min}}, \quad (9)$$

where $M = N - N_{\min}$ is the number of redundant measurements.

In Fig. 4, a method step 48 for computing the coefficient of variation CV of the measured hemoglobin concentration, and a method step 49 for computing the coefficient of variation CV of the measured hemoglobin concentration are represented.

From Equation (9) it can be appreciated that the reproducibility of the measured concentration increases with the number N of measured extinction values considered for the curve fitting method step. The number N is given by the spectral resolution and sampling rate of the spectroscopic measurement system and the wavelength range λ_r .

It should be noted that an extension of the wavelength range λ_r increases N for a given spectral resolution and sampling rate, but that the linear approximation E_d of the sum of the lipid and the matrix contributions in Equations (3) and (5) becomes more and more inaccurate. The value of the coefficient of variation CV, calculated from Equations (8) and (9), can then be compared in a comparison step 50 with a predetermined limit value CV_{lim} in order to characterize the quality of the concentration measurement. If this comparison shows that a value of CV is larger than CV_{lim} this provides an indication 51 of a critical to weak reproducibility of the results, that is of the concentrations and the differential spectrum. Consequently, the measurement would e.g. be disregarded, repeated, or marked as being of reduced reliability.

Sample quality monitoring, based on optical absorption spectroscopy as shown in Fig. 1, has been experimentally

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5 investigated using a state-of-the-art spectrometer (Cary V,
 VARIAN, Australia). The collimated beam had an approximate
 spot size of 5×2 square millimeter. The optical path in
 the test sample was $d = 10$ mm. The sample dilution was 1:20
 ($q_{dil} = 20$). The spectrum of the test sample was measured in
 10 the wavelength range $\lambda = [300, 1200]$ nanometer with a
 spectral resolution of $\Delta\lambda = 0.05$ nanometer and a spectral
 sampling rate of $\Delta\lambda_s = 1$ nanometer/pixel. The hemoglobin
 concentration C_H has been obtained from linear least squares
 fitting the model in Equations (3) and (4) to $N_1 = 28$
 15 measured values $E(\lambda_n)$ in the wavelength range $\lambda_{rh} = [545,$
 575] nanometer. The bilirubin concentration C_B has been
 obtained from linear least squares fitting the model in
 Equations (5) and (6) to $N_2 = 63$ measured values $E(\lambda_n)$ in the
 wavelength range $\lambda_{rb} = [480, 545]$ nanometer. The differential
 20 extinction spectrum E_{diff} has been obtained from Equation
 (7). The reproducibility of the measured hemoglobin and
 bilirubin concentrations has then been calculated according
 to Equations (8) and (9), with $\sigma_I/I_0 = 5 \cdot 10^{-5}$ for the
 reproducibility of the measured optical intensities.

25 As an example, Figure 5 shows the experimentally measured
 extinction spectrum $E(\lambda)$ of a typical real whole blood serum
 55. The best fitting extinction models for hemoglobin 57 and
 bilirubin 59 in Equations (3) and (5) are represented by
 crosses and dots, respectively. The best fitting hemoglobin
 30 and bilirubin concentrations are $C_H = 0.18$ g/l (CV = 1.5 %) and
 $C_B = 0.67$ mg/dl (CV = 0.3 %), respectively. The
 differential extinction spectrum $E_{diff}(\lambda_n)$ 60 is also shown by
 the dashed line.

Figs. 6 and 7 show other examples of real whole blood serum
 35 samples, namely with a high hemoglobin content respectively

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5 an highly icteric sample. Furthermore, in Fig. 6, the differential spectrum shows an anomalous differential spectrum which is merely constant with additionally an increased extinction with increasing wavelength above about 650 nanometer. The continuous line 62 is the measured
10 spectrum, the dashed line 64 and the dotted line 65 are the hemoglobin respectively the bilirubin contributions, and the dash-dotted line 66 is the differential spectrum, each time calculated from the results according to the described method.

15 Accuracy

In order to investigate the accuracy of the method, the hemoglobin and bilirubin concentrations of a series of 125 independent test samples have been determined. The samples have been synthesized using a standard blood serum (Control
20 Serum N (human) [Hoffmann-La Roche, Switzerland]) to which hemoglobin (Hemolysat [Hoffmann-La Roche, Switzerland]), bilirubin (B-4126 mixed isomers [Sigma, Switzerland]) and lipid (Intralipid 20% [Pharmacia, Sweden]) have been added. The added concentrations of hemoglobin, bilirubin and lipid
25 were $C_H = [0, 0.17, 0.83, 3.33, 15]$ g/l, $C_B = [0, 1, 2, 10, 20]$ mg/dl and $C_L = [0, 50, 100, 400, 1800]$ mg/dl, respectively, leading to the set of 5.5.5 test samples. The optically measured hemoglobin 70 and bilirubin 72 concentrations versus added concentrations are represented
30 in Fig. 8 and 9.

In the case of hemoglobin (Fig. 8), a linear least squares fit 74 ($C_{fit,h} = C_{0,h} + m_h C_H$) yields an offset concentration $C_{0,h} = 0.12$ g/l and a slope $m_h = 0.95$. The correlation coefficient between the best fit and the measured values is
35 $\rho = 0.999$. In the case of bilirubin (Fig. 9), a second linear least squares fit 76 ($C_{fit,b} = C_{0,b} + m_b C_B$) yields an

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- 5 offset concentration $c_{0,b} = 1.64$ mg/dl and a slope $m_b =$
0.999. The correlation coefficient between the best fit and
the measured values is $\rho = 0.995$. Note that Control Serum N
(human) has an approximate bilirubin concentration of $C_B \cong 2$
mg/dl. Further, it is stated that the amount of added
10 hemoglobin, bilirubin and lipid also has finite accuracy.

The hemoglobin and bilirubin concentrations of a series of
92 real whole blood sera have then been optically
determined. The concentration values were in the range $C_H =$
[0, 5] g/l for hemoglobin and $C_B = [0, 45]$ mg/dl for
15 bilirubin. As reference values, the concentrations have been
determined by clinical-chemical analysis (Cobas® Integra 700
analyzer, [Hoffmann-La Roche, Switzerland]). Figures 10 and
11 show the optically versus clinical-chemically determined
hemoglobin [bilirubin] concentrations 90 [91].

- 20 The results show that the sensitivity of the method is
approximately $C_{H,min} \cong 0.5$ g/l hemoglobin and $C_{B,min} \cong 2$ mg/dl
bilirubin. The observed correlation coefficients between the
reference 93 [94] and the optically determined 90 [91]
hemoglobin [bilirubin] concentrations were $\rho = 0.980$ and $\rho =$
25 0.996, respectively. Note that the clinical-chemical method
has also limited accuracy; namely the bilirubin
concentrations (Fig. 11) show better correlation than the
hemoglobin concentrations (Fig. 10), although the accuracy
of the optically measured bilirubin concentration is
30 affected by the accuracy of the hemoglobin concentration
determination (sequential determination of hemoglobin and
bilirubin, see above).

In comparison, the benchmark Hitachi-Formula (US-4,263,512)
evaluation algorithm has been used to evaluate the optical
35 absorption spectra. The observed correlation coefficients

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5 between the reference and the Hitachi-Formula determined concentration values were $\rho = 0.879$ for hemoglobin and $\rho = 0.992$ for bilirubin.

Reproducibility

10 The coefficient of variation CV of the measured hemoglobin and bilirubin concentrations has been calculated from Equation (8), where $\sigma_I/I_0 = 5 \cdot 10^{-5}$ was the reproducibility of the measured optical intensities and $\bar{\lambda} = 560 \text{ nm}$ was the center wavelength of λ_{rh} . for hemoglobin and $\bar{\lambda} = 512 \text{ nm}$ for bilirubin was the center wavelength of λ_b . Figures 12 to 15 show the optically measured hemoglobin (Fig. 12) and 15 bilirubin (Fig. 14) concentrations of the set of 92 real blood sera and the respective CV values (Fig. 13 respectively Fig. 15). Inspection of Figs. 12 to 15 shows that the reproducibility is better for large concentration 20 values, and that the values for hemoglobin and bilirubin are better than $CV < 10 \%$ respectively $< 1 \%$ for 89 respectively 91 of 92 analyzed sera.

Low cost optical spectrometer setup

25 Sample quality monitoring, based on optical absorption spectroscopy as shown in Fig. 1, has then been experimentally investigated with low cost optical elements. The multiple optical wavelength light source was a white-light halogen lamp (Halogen 5V, 5W, $P_v \cong 2 \text{ nW/nanometer}$ @ $\lambda = 530 \text{ nanometer}$ [MICROPARTS GmbH, Germany]). The collimated 30 beam had an approximate diameter of $D = 2 \text{ mm}$. The optical path in the test sample was $d = 10 \text{ mm}$. The dilution of the sample was $1 : 20$ ($q_{dil} = 20$). The transmitted light was collected by a lens (focal length $f = 5 \text{ mm}$) and coupled into an optical fiber with core diameter $\varnothing_c = 100 \mu\text{m}$. The light

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5 was spectroscopically analyzed by a low cost, plane-concave spectrometer PCS [CSEM-Z, Switzerland] with spectral resolution $\Delta\lambda \cong 8$ nanometer. The spectrum of the test sample was measured by a linear photodiode array (512 pixels, center-to-center spacing $\Delta x = 25 \mu\text{m}$) in the wavelength range

10 $\lambda = [421, 704]$ nanometer. The spectral sampling rate was $\Delta\lambda_s = 2.8$ nanometer/pixel. The reproducibility of the measured optical intensities was $\sigma_I/I_0 = 5 \cdot 10^{-4}$. The hemoglobin concentration C_H has been obtained from linear least squares fitting the model in Equations (3) and (4) to $N_1 = 11$

15 measured values $E(\lambda_n)$ in the wavelength range $\lambda_{rh} = [545, 575]$ nanometer. The bilirubin concentration C_B has been obtained from linear least squares fitting the model in Equations (5) and (6) to $N_2 = 20$ measured values $E(\lambda_n)$ in the wavelength range $\lambda_{rb} = [480, 545]$ nanometer. The differential

20 extinction spectrum E_{diff} has been obtained from Equation (7). Figures 16 and 17 show the PCS versus the state-of-the-art (Cary V) spectroscopically measured hemoglobin respectively bilirubin concentrations of the set of 92 blood sera of Figs. 10, 11 and 12 to 15. In the case of

25 hemoglobin (Fig. 16), a linear least squares fit ($C_{fit,h} = C_{0,h} + m_h C_H$) in the concentration range $C_H < 2$ g/l yields an offset concentration $c_{0,h} = 0.043$ g/l and a slope $m_h = 0.859$. The correlation coefficient between the best fitting curve and the PCS measured values is $\rho = 0.997$. In the case of

30 bilirubin (Fig. 17), a linear least squares fit ($C_{fit,b} = C_{0,b} + m_b C_B$) in the concentration range $C_B < 15$ mg/dl yields an offset concentration $c_{0,b} = -0.010$ mg/dl and a slope $m_b = 0.940$. The correlation coefficient between the best fitting curve and the PCS measured values is $\rho = 0.998$. The results

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- 5 show that low cost spectrometers can readily be used for sample quality monitoring purposes.

If the examination of the samples yields a result indicating an anomalous condition of the sample, there may be generated by the examining device, e. g., one or more of the following
10 signals or responses:

- an optical and/or acoustical warning signal to excite the operator's attention, particularly in case an abnormal sample has been detected,
- 15 - a printout of results (spectra, coefficients etc.) on a printer,
- a print on the analyzer's printout, so that the operator can immediately see if the results of the regular, chemical-clinical examination are true or prone to artefacts, or
- 20 - an automatic repetition of the measurement, e.g. using a new test sample.

The described method may be implemented in various arrangements, preferably in connection with an automated analyzer, e g. as follows:

- 25 - The quality test may be done as a first photometric pass in the photometric site of an analyzer. Thereby, the performance of the analyzer is reduced because this prescan and the regular photometric pass are performed subsequently, or an additional sample is needed causing
30 consumption of sample material;

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- 5 - An additional photometric site is provided for the quality test;
- The pipette, or more generally, the supply system of the analyzer for the fluids to be tested, is provided with a transparent site, i. e. an optical flow-through cell
- 10 (OFTC), in connection with a photometer; where necessary, particularly when the conduit system subsequently provides differently diluted samples, there may be arranged different OFTC paths with different optical path lengths in connection with flow switches for compensating
- 15 the varying dilutions;
- A stand-alone photometer dedicated to the quality tests
- A dip-in probe 110 having e.g. a structure of the type shown by Fig. 18. Probe 110 has a tubular body 111 and is adapted to be immersed into a liquid sample contained in
- 20 a sample container. Light guides 115 and 119 are arranged within and extend along the length axis of tubular body 111. Tubular body 111 has an end part 112 and a recess 113 spaced from end part 112. Tubular body has a segment 114 of reduced cross-section. The position of this
- 25 segment corresponds to the position of recess 113. Lenses 117 and 118, and a prism 116 arranged within tubular body 111 at the end 112 thereof complete the structure of dip-in probe 110. Light transmitted through light guide 115 and lens 118 impinges on and is reflected back by prism 116, traverses recess 113, where a volume of the liquid sample to be examined is present, is collected by lens
- 30 117 and is transmitted to a photometer via light guide 119.
- The sample tube itself may be used as the photometric
- 35 cuvette, provided the differing lengths of the optical

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- 5 observation paths can be compensated for, i. e. the path
lengths are determined and can be input into the quality
test system, and/or the sample tubes are of sufficiently
equal size so that the optical paths do only differ
within small limits, maybe in an even negligible
10 variation range.

From the above description, variations of the invention are
conceivable to the one skilled in the art without leaving
the scope of the invention as defined in the claims. For
instance, it is conceivable:

- 15 - to extend the method to the determination of a third and
further components by continuing the sequential
determination method using two, three, four etc.
previously determined components for ascertaining the
concentrations of a third, fourth etc. component;
- 20 - to have the differential spectrum analyzed automatically
by determining its curvature (i. e. the second
derivative) and/or slope (i. e. the first derivative),
which should increase respectively be negative for
increasing wavelength in the exemplary quality test set
25 forth above;
- to choose deviating wavelength ranges for the photometric
measurements, particularly if the quality test is used
for determining other components of the samples provided
that the spectra to be combined in order to approximate
30 the measured spectrum show peculiarities in the given
wavelength range so that the approximation parameters,
before all the concentration of the sought component, are
unambiguously derivable;

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- 5 - to determine the differential spectrum in a subrange of the wavelength range used for the determination of the single components, or possibly even a range extending beyond this range.

List of reference numbers

- | | | |
|----|----|---|
| 10 | 1 | beam of light |
| | 2 | light source (multiple wavelenght) |
| | 3 | lens |
| | 4 | lens |
| | 5 | input of wavelength analyzer |
| 15 | 10 | extinction coefficient K_H of hemoglobin |
| | 11 | extinction coefficient K_H of bilirubin |
| | 12 | extinction coefficient K_L of lipid |
| | 13 | extinction coefficient E_g of a standard blood serum |
| | 30 | extinction spectra of the reference solution of |
| 20 | | Intralipid |
| | 31 | extinction spectra of several samples of real whole blood sera |
| | 32 | method step: determination of hemoglobin concentration |
| | 33 | method step: determination of bilirubin concentration |
| 25 | 34 | method step: determination of E_{diff} and further evaluation |
| | 35 | measured extinction spectrum $E(\lambda)$ 35 in the approximate wavelength range $\lambda_{rh} \cong [545, 575]$ nm |
| | 36 | determination of E_{d1} at $\bar{\lambda} = 560$ nm |
| 30 | 37 | method step: curve fitting |

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- 5 38 set of values: C_H and a_{0h} , a_{1h}
- 40 determination of E_{d1} at $\bar{\lambda} = 512 \text{ nm}$
- 41 method step: curve fitting
- 42 set of values: C_B and a_{0b} , a_{1b}
- 45 determination of E_{diff}
- 10 46 method step: investigation for spectral anomalies 46
- 47 center wavelength
- 48 computation of the coefficient of variation CV of the
measured hemoglobin concentration
- 49 computation of the coefficient of variation CV of the
15 measured bilirubin concentration
- 50 comparison step
- 51 indication of a critical to weak reproducibility of
the results
- 55 measured extinction spectrum $E(\lambda)$ of a typical real
20 whole blood serum
- 57 best fitting extinction model for hemoglobin
- 59 best fitting extinction models for bilirubin
- 60 differential extinction spectrum $E_{diff}(\lambda_n)$
- 62 measured spectrum
- 25 64 hemoglobin contribution
- 65 bilirubin contribution
- 66 differential spectrum
- 70 optically measured hemoglobin concentration
- 72 optically measured bilirubin concentration
- 30 74 linear least squares fit

- 25 -

- 5 76 linear least squares fit
- 90 optically determined hemoglobin concentrations
- 91 optically determined bilirubin concentrations
- 93 clinical-chemically determined hemoglobin concentrations
- 10 94 clinical-chemically determined bilirubin concentrations
- 96 state-of-the-art (Cary V) spectroscopically measured hemoglobin concentrations
- 97 linear least squares fit
- 15 99 state-of-the-art (Cary V) spectroscopically measured bilirubin concentrations
- 100 linear least squares fit
- 110 dip-in probe
- 111 tubular body
- 20 112 end part of tubular body 111
- 113 recess
- 114 segment having a reduced cross-section
- 115 light guide
- 116 prism
- 25 117 lens
- 118 lens
- 119 light guide

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